

# ISA Manager transfer form

Instruction to transfer an existing ISA to  
an ISA with Lloyds Share Dealing



Please print all details in BLOCK CAPITALS.

If you wish to transfer your existing ISA from another ISA Manager to Lloyds Direct Investments, please read the notes then complete the details, sign and return the forms to the following address:

The Stock Administration Department Dept  
Lloyds Direct Investments  
12 Wellington Place  
Leeds  
LS1 4AP

## 1 Your personal details

Title Mr Mrs Miss Ms Other (please specify)

☐☐☐☐

Your first names

Your last name

Your date of birth (DD MM YYYY)

Your National Insurance number\*

Your address

  
  

Postcode

Your telephone numbers and area dialling codes

Home

Mobile

Work

Your e-mail address (if you have one) - 32 SPACES

## 2 Your Lloyds Share Dealing ISA details

Your account number

If you do not already have an ISA with Lloyds Direct Investments you can register online at [www.lloydsbank.com/shares](http://www.lloydsbank.com/shares) or call us on 0345 606 0560.

## 3 Your current ISA Manager's details

Your ISA Manager's name

Your ISA Manager's address details:

  
  

Postcode

Account number

Your ISA Manager's telephone number

Your e-mail address (if you have one) - 32 SPACES

## 4 Details about your ISA to be transferred

Please **cross one** of the boxes below, then complete section A, B, C or D **only**.

**Note:** Some ISA Managers will only offer one.

Which type of ISA do you want to transfer from?

**Stocks and Shares ISA** (please complete Section A)

☐

**Innovative Finance ISA** (please complete Section C)

☐

**Cash ISA** (please complete Section B)

☐

**Lifetime ISA** (please complete Section D)

☐

### A Stocks and shares ISA

1 How much of your ISA do you want to transfer?

Current year's ISA subscriptions and the investments bought with those subscriptions in whole, and/or

☐

Previous year's ISA subscriptions in whole or in part

☐

Entire ISA

☐

2 How do you want the transfer to be made?

Cash only (holdings to be sold prior to transfer)

☐

Shares & residual cash

☐

Investments only

☐

3 If previous year's ISA, how much you would like to transfer?

Amount

£

### B Cash ISA

1 How much of your ISA do you want to transfer?

Current year's ISA subscriptions and/or

☐

Previous year's ISA subscriptions in whole or in part (continue to section 2)

☐

Entire ISA

☐

2 If previous year's ISA, how much you would like to transfer?

Amount

£

### C Innovative finance ISA

1 How much of your ISA do you want to transfer?

Current year's ISA subscriptions and/or

☐

Previous year's ISA subscriptions in whole or in part

☐

Entire ISA

☐

2 If previous year's ISA, how much you would like to transfer?

Amount

£

It is important to note that we are only able to accept cash transfers into your Lloyds stocks and shares ISA.

### D Lifetime ISA

1 How much of your ISA do you want to transfer?

Current year's ISA subscriptions and/or

☐

Previous year's ISA subscriptions in whole or in part

☐

Entire ISA

☐

2 If previous year's ISA, how much you would like to transfer?

Amount

£

It is important to note that we are only able to accept cash transfers into your Lloyds stocks and shares ISA.

## 5 Request and authorisation to your existing ISA Manager

I authorise my existing ISA Manager (as specified in Section 3) to transfer the ISA (account number in Section 3) to the Lloyds Direct Investments. I authorise my existing ISA Manager to provide the Lloyds Direct Investments with any information, written or non-written concerning my ISA and to accept any instructions from them relating to the ISA being transferred.

I authorise the transfer of the above named cash and/or investments to Lloyds Direct Investments. For funds which cannot be transferred, I authorise Lloyds Direct Investments to instruct my current broker to convert the fund into a fund class that can be transferred, and to convert my fund to the cheapest fund class available. In the event that any of my investments cannot be transferred as stock, I authorise Lloyds Direct Investments to instruct my ISA manager to sell these holdings and transfer them as cash.

Your signature

Date (DD MM YYYY)			

## 6 Important notes

\* You should be able to find your NI number on a payslip, form P45 or P60, a letter from HM Revenue & Customs, a letter from the DWP, or pension order book.

The transfer will be subject to the terms and conditions of both your current ISA and the Lloyds Share Dealing ISA. Subject to these and your instructions the transfer can take place either by transferring investments to your Lloyds Share Dealing ISA, in cash or a combination of investments and cash.

The terms of the transfer should be agreed between you, your current ISA Manager(s) and us. It is important that you are aware exactly what is being transferred and how it will be managed as a Lloyds Share Dealing ISA.

No additional subscriptions may be made to your Lloyds Share Dealing ISA until the transfer of your existing ISA has been completed.

Your current ISA Manager may impose exit charges and other costs associated with the transfer and you should ascertain to what extent you would be required to pay prior to instructing any transfer. You are responsible for any exit charges or other costs imposed by your current ISA Manager(s).

Before instructing a transfer you should examine all costs/charges in connection with the operation of your ISA. Certain elements of our products may be priced differently than those of your current ISA Manager.

Your current ISA Manager may allow transfers to other managers but only in the form of cash. Prior to instructing any transfer you should ascertain whether or not this would apply as you may suffer losses as a result of buying back the shares, units, etc. sold.

Transfers of ISAs between ISA Managers may take several weeks to complete. You should be aware that you might be unable to deal for a period whilst the transfer is pending.

There is the potential for a loss of income or growth following a rise in the markets whilst the transfer is in the course of being completed. We accept no liability for any loss of opportunity during a transfer.

Your current ISA Manager will agree a transfer date with us. Within 30 calendar days after the transfer date he must give us a notice in writing containing essential information and a declaration, this can be given electronically.

You are responsible for ensuring all information in the application form completed by you and all other information supplied from time to time to us by you is correct when supplied.

If you're transferring funds to us from another broker we might hold a different fund class than the one you hold now. This means we may need to convert your fund into a class that can be transferred, which may be more expensive or could be cheaper. We do this to make sure your fund does not have to be sold as part of the transfer. Once the transfer is complete, we'll make sure that you hold the cheapest fund class we have available for investment.