

Transfer form D

Application form to transfer investments held in another nominee into a Lloyds Share Dealing Account



Only use if access to the online service is unavailable

To transfer your investments held in another broker's nominee account into your Lloyds Share Dealing Account, please complete, sign and return this form to:
The Stock Administration Department, Lloyds Direct Investments, 12 Wellington Place, Leeds, LS1 4AP.

You can also use this form to transfer any Lloyds Banking Group Ordinary or Lloyds Banking Group Preference shares which are currently held in a Lloyds Banking Group Shareholder Account.

Please note that transfers into a Lloyds Share Dealing Account can only be made by those named on the account and who are also the beneficial holder(s) of the investments.

1 Lloyds Share Dealing Account details

Lloyds Share Dealing Account Number

Full names of all account holders

Address

Contact number

2 Nominee details

Name & address of current stockbroker/share dealing service/other nominee service (in full)

Nominee account reference

3 Stock details

Name of security/stock & class/nominal value - this should be used for electronic holdings only (use form A for certificates) - (See note A)

Total no. of shares to be transferred

Book cost - (See note B)

Any additional holdings can be attached separately

4 Guidance notes

Note A: Please write in the name of the security to be transferred in full (e.g. XYZ plc) followed by the class of stock/nominal value (e.g. Ordinary 25p).

Note B: Please enter the original total amount you paid for your investment (i.e. not amount per share). This would be the net contract value or 'book cost'. This will assist us in preparing a valuation for you.

Important: If you're transferring funds to us from another broker we might hold a different fund class than the one you hold now. This means we may need to convert your fund into a class that can be transferred, which may be more expensive or could be cheaper. We do this to make sure your fund does not have to be sold as part of the transfer. Once the transfer is complete, we'll make sure that you hold the cheapest fund class we have available for investment.

The Lloyds Direct Investment can only accept dealing instructions when the transfers have been completed and your shares have been re-registered into HSDL (Halifax Share Dealing) Nominees Limited; timescales vary depending upon the type of investments and the broker you're transferring from.

I/We authorise the transfer of all investments to Lloyds Direct Investments. For funds which cannot be transferred, I authorise Lloyds Direct Investments to instruct my current broker to convert the fund into a fund class that can be transferred, and to convert my fund to the cheapest fund class available. In the event that any of my investments cannot be transferred as stock, I authorise Lloyds Direct Investments to instruct my current broker to retain these holdings and proceed with a partial transfer.

All beneficial holders must sign below (including all joint holders):

5 Shareholder's signature(s)

Account names with existing provider and us have to match exactly for us to proceed with the transfers

Signatures of ALL holders

Name in BLOCK CAPITALS

Date (DD MM YYYY)